

Please type a plus sign (+) inside this box □

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

AUG 26 2004

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/021,121
Filing Date	December 6, 1002
First Named Inventor	Ingrid W. Caras
Group/Art Unit	1647
Examiner Name	Nichols, Christopher
Total Number of Pages in This Submission	Attorney Docket Number 39766-0046DV1

ENCLOSURES (check all that apply)

Fee Transmittal Form
 Fee Attached
 Amendment / Response
 After Final
 Version with Markings Showing Changes
 Affidavits/declaration(s)
 Extension of Time Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers
(for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition Routing Slip (PTO/SB/69) and Accompanying Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Small Entity Statement
 Request for Refund

After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (*Appeal Notice, Brief, Reply Brief*)
 Proprietary Information
 Status Letter
 Additional Enclosure(s) (*please identify below:*)

stamped return postcard; copies of four (4) references.

Remarks

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm
or
Individual name

GINGER R. DREGER, ESQ., Reg. No. 33,055, HELLER EHRLMAN WHITE & McAULIFFE LLP

Signature

Date

August 26, 2004

Customer Number:

25213

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
20231, on this date:

Express Mail Label EL 993 689 165 US

Typed or printed name

Cheryl Rogers

Signature

Date

August 26, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop ___, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



8130184

1647

SJK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ingrid W. Caras Docket No.: 39766-0046DV1
Serial No.: 10/021,121 Group Art Unit: 1647
Filing Date: December 6, 2001 Examiner: Nichols, Christopher J.
For: AL-2 NEUROTROPHIC FACTOR

EXPRESS MAIL LABEL NO. EL 993 689 165 US

Date Mailed: August 26, 2004

AMENDMENT AND RESPONSE TO OFFICE ACTION

MS: AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Office Action mailed on May 26, 2004, in connection with the above-identified patent application, please enter the following amendment and consider the following arguments. This response is filed within the three-month shortened statutory term set in the Office Action.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 5 of this paper.

Remarks/Arguments begin on page 7 of this paper.